

## Application Data Sheet

### Application Information

**Application Type::** Regular  
**Subject Matter::** Utility  
**Suggested classification::** N/A  
**Suggested Group Art Unit::** N/A  
**CD-ROM or CD-R?::** None  
**Computer Readable Form (CRF)?::** No  
**Title::** METHOD AND APPARATUS FOR  
ESTABLISHING AND ADMINISTERING A  
WEALTH TRANSFER PLAN  
**Attorney Docket Number::** 057799-2001  
**Request for Early Publication?::** No  
**Request for Non-Publication?::** No  
**Suggested Drawing Figure::** 1  
**Total Drawing Sheets::** 3  
**Small Entity?::** Yes  
**Petition included?::** No  
**Secrecy Order in Parent Appl.?::** No

### Applicant Information

**Applicant Authority Type::** Inventor  
**Primary Citizenship Country::** US  
**Status::** Full Capacity  
**Given Name::** Michael D.  
**Family Name::** Brown

**City of Residence::** Irvine  
**State or Province of Residence::** CA  
**Country of Residence::** US  
**Street of mailing address::** 19 Taggart  
**City of mailing address::** Irvine  
**State or Province of mailing address::** CA  
**Postal or Zip Code of mailing address::** 92612

### **Correspondence Information**

**Name::** Bernard L. Kleinke  
**Street of mailing address::** Foley & Lardner, 402 West Broadway  
23rd Floor  
**City of mailing address::** San Diego  
**State or Province of mailing address::** California  
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**Phone number::** (619) 685-6459  
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**E-Mail address::** bkleinke@foleylaw.com

### **Representative Information**

<b>Representative Designation::</b>	<b>Registration Number::</b>	<b>Representative Name::</b>
Primary	40,893	WESLEY B. AMES

Primary	44,676	LEI FANG
Primary	45,861	KEVIN J. FORRESTAL
Primary	38,473	ANDREW EDWARD GRANSTON
Primary	39,716	LEE HSU
Primary	22,123	BERNARD L. KLEINKE
Primary	31,192	STEPHEN E. REITER
Primary	45,071	RICHARD M. SAN PIETRO
Primary	34,842	STACY L. TAYLOR
Primary	32,327	RICHARD J. WARBURG
Primary	47,174	ARTHUR A. WELLMAN JR.
Primary	46,230	MICHAEL A. WHITTAKER
Primary	39,431	BARRY S. WILSON

#### Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::

#### Foreign Priority Information

Country::	Application number::	Filing Date::	Priority Claimed::

## **Assignee Information**

**Assignee name::**

Spectrum Group Investments, LLC